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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/753,336

12/29/2000

Joshua Coates

SCAL.P0004

23349 STATTLER JOHANSEN & ADELI P O BOX 51860 PALO ALTO, CA 94303 CONFIRMATION NO. 8407
FORMALITIES LETTER
OC000000005757264

Date Mailed: 02/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

05/04/2001 EABUBAK1 00000044 501128 09753336

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A copy of this notice <u>MUST</u> be returned with the reply.

Talk of M & Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE





PATENT Docket No. SCAL.P0004

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 2021 on April 27, 2001.

Shannon Lester

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Joshua Coates, et al.

Serial No.: 09/753,336

Filing Date: 12/29/00

For: A FAILOVER ARCHITECTURE FOR

LOCAL DEVICES THAT ACCESS

REMOTE STORAGE

Examiner: <not yet assigned>

Group Art Unit: 2152

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(f) dated 2/12/01, attached please find:

- 1. Notice to File Missing Parts of Nonprovisional Application Part 2;
- 2. A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$65.00 as set forth in 37 C.F.R. § 1.16(e);
- 3. A Recordation Form Cover Sheet and Assignment;
- 4. A Petition for Extension of Time;
- 5. Corrected formal drawings (32 sheets); and

6. a Return Receipt Post Card.

The filing fee has been calculated as follows:

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|--|--------------|----------------|------------------|--------------|
| TOTAL CLAIMS | 20 - 20 = | 0 | x \$18.00 | \$0 |
| INDEPENDENT CLAIMS | 3 - 3 = | 0 | x \$80.00 | \$0 |
| EXTENSION FOR REPLY WITHIN FIRST MONTH: | | | | \$110 |
| SURCHARGE FOR FILING MISSING PARTS | | | | \$130 |
| The company of the state and t | | | BASIC FEE | \$710 |
| | | TOTAL OF ABOVE | E CALCULATIONS = | \$950 |
| Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached. | | | | \$425 |
| ASSIGNMENT RECORDATION FEE | | | | \$40 |
| de familia de la secono dela secono de la secono del secono de la secono del secono de la secono del secono de la secono d | 1.01.00 | | TOTAL = | \$465 |

A check in the amount of \$465.00 is attached.

The Assistant Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to **Deposit Account No. 50-1128**.

By:

Respectfully submitted,

Dated: April 27, 2001

John Stattler

Registration No. 36,285

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